

Adviser Charging form

Please use this form for adviser charges under an existing SIPP, which are not covered by existing adviser remuneration arrangements.

1 Accessing our services

If you experience difficulties accessing any of our services due to personal circumstances, we may be able to make some adjustments to help you. Please provide us with details of your needs so we can assess any reasonable adjustments that we can make for you.

The information you provide will help us assess your requirements and make any reasonable adjustments to improve how we work and communicate with you.

We will require your express consent to process this information, and to enable us to share this data with other third parties where appropriate, e.g. investment firms or advisers, to help us, and others to continue to meet your needs. Please could you kindly provide this below.

I agree to my information being processed by Curtis Banks Group, to include being shared and gathered between relevant third parties.

Please refer to our Privacy Information Notice for Clients, should you require further guidance on how we collect use and protect your personal information. This can be found on our website www.curtisbanks.co.uk or please ask your adviser or us for a copy.

Your consent to share personal information is entirely voluntary and you may withdraw your consent at any time. Should you have any questions about this process, or wish to withdraw your consent, please contact the Client Management Team on 0117 332 4080 or cmt@curtisbanks.co.uk.

2 Client's details

Client's name

Plan number

3 Adviser's details

Name of authorised individual

Full name of regulated organisation

4 Adviser charging

Please tell us if the adviser charges below:

- Are in addition to existing adviser remuneration arrangements (e.g. one-off advice not covered by existing arrangements) or
- Replace all existing remuneration arrangements.

Please confirm details of any adviser charges payable in respect of advice in relation to the SIPP (any boxes left blank will assumed to be zero):

Initial payment	£	or	%	of initial contribution	transfer value
Ongoing payments	£	or	%	of fund value	
Ongoing payments - frequency*	Quarterly	6 monthly	Annually		
*Fees to be paid upon production of an invoice from the adviser					
Future transfer-in payments	£	or	%	of each transfer in	
Future contribution payments	£	or	%	p.a.	regular ad hoc all contributions

4 Adviser charging (continued)

Are payments subject to VAT?* Initial payments

Yes No

Ongoing payments

Yes No

*If left blank we will assume no

Bank details Must be completed

Bank or building society

Sort code

Account in the name(s) of

Account number

Roll number

Payment reference

5 Adviser's declaration

- I confirm that the above charges are in accordance with an adviser charging structure which has been disclosed and agreed, and meets regulatory requirements.

Name

Signed

Position in organisation

Date

6 Client's declaration

- I am satisfied that the amounts of adviser charges above have been fully and correctly completed and I authorise payment of these charges from the SIPP.

Name

Signed

Date

Notes

- Charges may also be payable to your adviser in respect of other advice related to the SIPP, for example advice on the investments made by the SIPP. Any such additional charges should be separately disclosed to you and validated by you.
- Payments can only be made to the adviser shown in our records as acting for the SIPP.

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curtisbanks.co.uk

Call charges will vary. We may record and monitor calls.

If you're contacting us by email, please remember not to send any personal, financial or banking information because email is not a secure method of communication.

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